The Lovász Local Lemma and Satisfiability Algorithmic Aspects

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Problem overview





- Algorithm
- The proof



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Problem overview

Setting: the *k*-SAT problem

a k-CNF formula:

$$F = \underbrace{\left(x_1 \lor \bar{x}_2 \lor \ldots \lor \bar{x}_{19}\right)}_k \land \underbrace{\left(\bar{x}_3 \lor x_7 \lor \ldots \lor x_{19}\right)}_k \land \ldots$$

A conjunction of disjunctions (*clauses*), each composed of *k literals*.

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A conjunction of disjunctions (*clauses*), each composed of *k literals*.

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Notation:

- n : number of variables
- m: number of clauses
- vbl(C): the set of variables occurring in clause C

Problem overview

A simple subclass

Neighbourhood of a clause $C \in F$: $\Gamma(C) := \{ D \in F \mid D \neq C, vbl(C) \cap vbl(D) \neq \emptyset \}$

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Let F be any k-CNF formula. If each $C \in F$ has $|\Gamma^+(C)| \le 2^k/e$, then F admits a satisfying assignment.

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- classical proof is non-constructive
- Q: can we *find* a satisfying assignment?



Beck, 1991: for neighbourhoods up to $2^{k/48}$

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Beck, 1991: for neighbourhoods up to $2^{k/48}$ Alon, 1991: for neighbourhoods up to $2^{k/8}$

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Theorem

There exists a randomized algorithm which, given a k-CNF formula F with $\forall C \in F : |\Gamma^+(C)| \le 2^{k-3}$, finds a satisfying assignment for F in expected polynomial time.

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Algorithm

```
solve(F):
    start with a random assignment
    while(\exists C \in F : C violated)
        pick lexicographically first such C
        locally_correct(C)
```

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// post-condition: strictly fewer violated clauses
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// post-condition: strictly fewer violated clauses

Algorithm

```
solve(F):
    start with a random assignment
    while(∃C ∈ F : C violated) // repeats <= m times
        pick lexicographically first such C
        locally_correct(C):
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resample new values for vbl(C)
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Logging the Program Execution

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Logging the Program Execution

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solve(F):
   start with a random assignment
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   pick lexicographically first such C
   log("new recursion for" + index(C))
   locally_correct(C)
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locally_correct(C):
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   log(<-)
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Logging the Program Execution

. . .

A sample log looks like this [with storage space requirements]:

new recursion for 6 next clause 1 next clause 2 next clause 2 $[\log m \text{ bits}] \\ [(k-3)+1+1 \text{ bits}] \\ [(k-3)+1+1 \text{ bits}] \\ [(k-3)+1+1 \text{ bits}] \end{cases}$

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Lemma

Each line of the log allows to reconstruct k bits of the random input used by the algorihtm.

Algorithm The proof

Information Theoretic Balance

• at most $O(m \log m)$ bits (in total) output by top-level calls

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- every further recursive call: k 1 bits
- every line allows to reconstruct k bits of random input

• after $O(m \log m)$, process starts compressing fully random data The process has to stop in $O(m \log m)$ time.

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Further work

References:

• Schweitzer '09: independently found almost same proof

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- Final version in collaboration with Gábor Tardos:
 - $2^k/e$ neighbours (no gap anymore)

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Further work

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• Schweitzer '09: independently found almost same proof

- Final version in collaboration with Gábor Tardos:
 - $2^k/e$ neighbours (no gap anymore)
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 - generalization to applications beyond SAT

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 - analyse the output distribution of the algorithm
 - settings with super-polynomially many events
 - interesting applications (e.g. Santa Claus problem and coloring problems)

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Simplified algorithm

The more sophisticated proof variant demonstrates the following simplified algorithm to terminte in O(mk) expected time:

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    Schöning: flip one u.a.r. from vbl(C)
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=> That's almost Schöning's algorithm for general *k*-SAT with success probability

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Juxtaposition

For up to $2^k/e - 1$ neighbors per clause:

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Open questions:

• does Schöning work for the LLL case?

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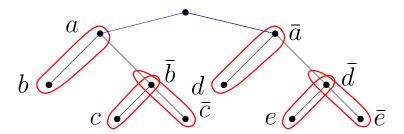
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Open questions:

- does Schöning work for the LLL case?
- is there such a jump in complexity or can it be smoothened?

Structural results on the 'jump'

By Gebauer, Szabó and Tardos: there are unsatisfiable formulas where each clause has $2^{k}(e^{-1} + o(1))$ neighbors, so the LLL is asymptotically tight for SAT.



The formulas can be constructed in such a way that no variables is featured in more than $(2/e + \epsilon) \cdot 2^k/k$ clauses.

Thanks

THANK YOU

Robin Moser The Lovász Local Lemma and Satisfiability

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